APR 2 2 2002



U.S. Attorney Docket No.: SP01-277

# DECLARATION IN ORIGINAL APPLICATION

As a below named inventor, I declare that:

My residence, Post Office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHODS AND APPARATUS FOR PULSED DOPING OR DRYING A SOOT PREFORM.

|                               | The specification of v   | which (check only one item be  | low):   |
|-------------------------------|--|--|---|
| is                            | attached hereto  |  |   |
|                               |  | s Application Serial No. 10/05<br>(if applicable)  | 3,365 on October 26, 2001   |
|                               | as filed as PCT internat<br>nended under PCT Arti  | ional application number , cle 19 on (if applicable).  | on , and was  |
| specif                        | I hereby state that I hat ication, including the cl  | ave reviewed and understand the aims, as amended by any amended  | ne contents of the above-identified ndment referred to above.   |
| applica                       | I acknowledge the dutation in accordance with  | ty to disclose information which<br>h Title 37, Code of Federal Re   | ch is material to the examination of this gulations, § 1.56.  |
| interna<br>below              | of any foreign applicat<br>ational application whic<br>and have also identified<br>ne subject matter, havir                | tion(s) for patent or inventor's of high designated at least one count of below any foreign application                                | 5, United States Code, § 119(a)-(d) or certificate or 365(a) of any PCT try other than the Unites States, listed in for patent or inventor's certificate, on the application on which priority is   |
|                               | Country:   | Application No.:   | Filing Date:  |
| $\boxtimes$                   | NONE   |  | -   |
| States disclos 35 Uni Title 3 | States application(s) or<br>listed below and, insofated in the prior United Stated States Code § 112, Tode of Federal Regu | 365(c) of any PCT internation<br>or as the subject matter of each<br>states application in the manne<br>I acknowledge the duty to disc | es Code § 119(e) and § 120 of any nal application designating the United of the claims of this application is not r provided by the first paragraph of Titl lose material information as defined in between the filing date of the prior of this application: |
|                               | Provisional No.:   | Filed:   | Status:   |
|                               | Application No.:   | Filed:   | Status:   |
|                               | PCT Application No   | : Filed:   | Status:   |
| $\nabla$                      | NONE   |  |   |

#### **DECLARATION IN ORIGINAL APPLICATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor:

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Citizenship:

**USA** 

DATE: 2/20/2007

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**USA** 

DATE: 2/15/07

Daniel W. Hawtof

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DATE: 2(13/02

Michael T. Murtagh

**Full Name of Inventor:** 

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Citizenship:

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DATE: 9/13/02

John S. Rosettie

John S. Kretter

Full Name of Inventor: Andrew P. Schermerhorn Resident Address: 222 West William Street, Corning, NY 14830 Post Office Address: same Citizenship: **USA** Full Name of Inventor: Merrill F. Sproul **Resident Address:** 40 Antler Drive, Big Flats, NY 14814 Post Office Address: same Citizenship: **USA** Full Name of Inventor: John Stone, III **Resident Address:** 9199 Smith Road, Painted Post, NY 14870 **Post Office Address:** same Citizenship: **USA** John Stone, III

**Full Name of Inventor:** 

Pushkar Tandon

**Resident Address: Post Office Address:**  4 Briarcliff Drive, Corning, NY 14830

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Citizenship:

India



## COPY OF PAPERS ORIGINALLY FILED

(U.S.)

Attorney Docket No.: SP01-277

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Dawes, et al

Serial No.:

10/053,365

Filed:

10/26/01

For:

METHODS AND APPARATUS FOR

PULSED DOPING OR DRYING A

SOOT PREFORM

COMBINED

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

and

**POWER OF ATTORNEY** 

Assistant Commissioner for Patents Washington, DC 20231

## CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

**CORNING INCORPORATED**, a New York corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above. A true copy of the unrecorded Assignment is attached hereto.

The undersigned has reviewed the above referenced assignment of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

(U.S.)

Attorney Docket No.: SP01-277

## POWER OF ATTORNEY BY ASSIGNEE

CORNING INCORPORATED, the assignee of the full and exclusive right, title and interest in and to the accompanying application for United States Letters Patent entitled METHODS AND APPARATUS FOR PULSED DOPING OR DRYING A SOOT PREFORM and executed by Steven B. Dawes, Daniel W. Hawtof, Michael T. Murtagh, John S. Rosettie, Andrew P. Schermerhorn, Merrill F. Sproul, John Stone, III and Pushkar Tandon on 2/20/02, 2/15/02, 2/13/02, 2/13/02, 2/13/02, 2/13/02, 2/20/02 and 2/13/02, respectively, appoints the practitioners associated with the Customer Numbers provided below (i.e., the practitioners associated with the Patent Department, Corning Incorporated and the law firm of Myers Bigel Sibley & Sajovec) to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith. Please direct all correspondence to the law firm of Myers Bigel Sibley & Sajovec at the address associated with Customer Number 20792.

**Customer Number for** 

**Customer Number for** 

**Corning Incorporated: 22928** 

Myers Bigel Sibley & Sajovec: 20792

**CORNING INCORPORATED** 

Date: 2/20/02

Rν

Mark W. Lauroesch

**Assistant Secretary** 

Attorney Docket No.: SP01-277

### **ASSIGNMENT**

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a METHODS AND APPARATUS FOR PULSED DOPING OR DRYING A SOOT PREFORM, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE 2/20/02 DATE 215/02 DATE2113102 DATE2113102 DATE 213/02 DATE2/13/02 DATE2/20/02 DATE 213/02

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Corning, NY, this

| 7_12012007                          | St. B. No.   |
|-------------------------------------|--|
| Date                                | Steven B. Dawes  |
| 2/15/02                             | Deniel Willands  |
| Date                                | Daniel W. Hawtof   |
| 2/13/02                             | M. Carly Mudal   |
| Date                                | Michael T. Murtagh   |
| 1/12/21                             | 11 8-11-11   |
| Date/                               | John S. Rosettje   |
| 2/12/2                              | and a last of the  |
| Date                                | Andrew P. Schermerhorn   |
| , ,                                 | m -ll 1 land   |
| $\frac{2/13/\sigma^2}{\text{Date}}$ | Merrill F. Sproul  |
| Date                                | 1 ( )  |
| 2/20/02                             | John Stone, III  |
| Date'                               | D. A. T. A.  |
| 2/13/62                             | Pushkar Tandon Pushkar Tandon  |
| Date / /                            | Tushkai Tahdon   |
|                                     |  |
|                                     |  |
| State of New York)                  |  |
| : ss. County of Steuben)            |  |
|                                     | $2^{-2\pi i f}$ 001, before me personally came <b>Steven B. Dawes</b> known to be the person described trument, and acknowledged that they executed the same.          |
|                                     | (Micheller My Drierier   |
|                                     | Notary Public  |
| State of New York)                  | Michelle M. Proctor  |
| : ss. County of Steuben)            | Notary Public, State of New York Steuben County No. 4911462  |
| •                                   | Commission Expires Nov. 9, 20 CO   |
|                                     | $_{-}$ 200 $\tilde{V}_{r}$ , before me personally came <b>Daniel W. Hawtof</b> known to be the person egoing instrument, and acknowledged that they executed the same. |
|                                     | Notary Public Drace Tox  |
|                                     | Notary Public / /<br>Michelle M. Proctor   |
| State of New York)                  | Notary Public, State of New York   |
| : ss. County of Steuben)            | Steuben County No. 4911462<br>Commission Expires Nov. 9, 20 <u>ごう</u>  |
| •                                   | 2001, before me personally came Michael T. Murtagh known to be the person  |
|                                     | egoing instrument, and acknowledged that they executed the same.   |
|                                     | Noway Public   |
|                                     | Notary Public  |

Michelle M. Proctor Notary Public, State of New York Steuben County No. 4911462 Commission Expires Nov. 9, 20

| State of New York)  |  |  |  |  |
|---|--|--|--|--|
| : ss. County of Steuben)  |  |  |  |  |
| On the 13th day of Fen 2007, before me in and who executed the foregoing instrument, and ac   | personally came John S. Rosettie known to be the person described knowledged that they executed the same.  |  |  |  |
|   | Notary Public Practar  |  |  |  |
| State of New York) : ss.  | Michelle M. Proctor<br>Notary Public, State of New York  |  |  |  |
| County of Steuben)  | Steuben County No. 4911462 Commission Expires Nov. 9, 20 <u>05</u>   |  |  |  |
| On the 12th day of Feb 2001; before me personally came Andrew P. Schermerhorn known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.  |  |  |  |  |
|   | Nothers Public Drockery  |  |  |  |
| State of New York)  | Michelle M. Proctor  |  |  |  |
| : ss.<br>County of Steuben)   | Notary Public, State of New York Steuben County No. 4911462  |  |  |  |
| On the 13th day of Feb 2001, before me p in and who executed the foregoing instrument and ack   | Commission Expires Nov. 9, 20 <u>05</u> personally came <b>Merrill F. Sproul</b> known to be the person described mowledged that they executed the same. |  |  |  |
| Notary Public State of New York) : ss. County of Steuben)   | Michelle M. Proctor Notary Public, State of New York Steuben County No. 4911462  |  |  |  |
| Commission Expires Nov. 9, 20 $\frac{O}{O}$ On the $\frac{1}{2}$ day of $\frac{1}{2}$ |  |  |  |  |
|   | Michele M. Drocter Notary Public   |  |  |  |
| State of New York) : ss. County of Steuben)   | Michelle M. Proctor Notary Public, State of New York Steuben County No. 4911462 Commission Expires Nov. 9, 20  |  |  |  |
| On the 15th day of Feb 200 k, before me p in and who executed the foregoing instrument, and acknowledge of the control of the   | ersonally came <b>Pushkar Tandon</b> known to be the person described nowledged that they executed the same.   |  |  |  |
|   | Notary Public Notary Public  |  |  |  |
|   | Michelle M. Proctos  |  |  |  |

Notary Public, State of New York Steuben County No. 4911462 Commission Expires Nov. 9, 20 05